

# An Improved Key-Management Scheme for Hierarchical Access Control

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## Abstract

Now, most institutions share the data through the Internet. With the rapid development of the Internet and the cloud storage, data-sharing becomes so easy that the data was stolen or destroyed easier than before. Therefore, accessing data should strictly control to avoid unauthorized access. In this paper, we propose the more efficient key management scheme for hierarchical access control than Odelu et al.'s scheme.

*Keywords:* Access Control; Hierarchical Access Control; Key Management

## 1 Introduction

The user hierarchy system is represented by a set of disjoint security class  $SC_i$  ( $i = 1, 2, \dots, N$ ) and a partially ordered set (POSET)  $(SC, \leq)$ , where  $\leq$  (i.e.  $<$  or  $=$ ) is a binary partially ordered relationship in a user set [10, 11, 12]. Generally, this hierarchy system is managed by a trusted central authority (CA). CA distributes the secret key ( $SK_i$ ) to each security class ( $SC_i$ ) and announces the corresponding public information in a public domain.  $SC_j < SC_i$  denotes that  $SC_i$  is a predecessor of  $SC_j$ , and  $SC_j$  is a successor of  $SC_i$ ; and a predecessor security class can derive its successors' secret keys ( $SK_j$ ). We take a user hierarchy system as an example in Figure 1 [5, 7, 13]. When  $SC_2 \leq SC_1$ , we can know that  $SC_2$  is a successor of  $SC_1$ . If  $SC_2$  encrypts files using its secret key ( $SK_2$ ),  $SC_1$  derives  $SC_2$ 's secret key to access the files which are encrypted by  $SC_2$ .

In 1983, Akl and Taylor [1] proposed the cryptographic solution to the problem of access control, the first concept of the cryptographic key assignment scheme in an arbitrary POSET hierarchy. A lot of related works have been proposed to solve access control prob-

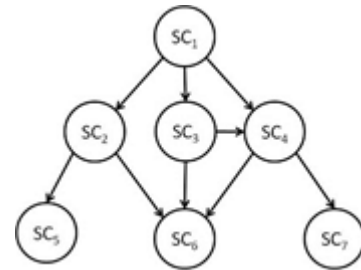


Figure 1: An example of user hierarchy system [16]

lems [2, 3, 4, 6, 8, 9, 14, 15, 17, 18, 19]. In 2013, Odelu et al. [16] proposed an efficient and secure access control scheme only based on symmetric-key cryptosystems and one-way hash functions.

## 2 Review of Odelu et al.'s Schemes

In this section, we briefly introduce Odelu et al.'s scheme [16]. In Table 1, we show the notations' meaning in this paper. We just describe two phases in this scheme: key generation phase and key derivation phase.

### 2.1 Key Generation Phase

Firstly, CA builds the hierarchical structure for controlling access among the security classes. Let two security classes be  $SC_i, SC_j \in SC$  and  $SC_j \leq SC_i$  ( $1 \leq i \leq N$  and  $1 \leq j \leq N$ ).

**Step 1:** CA chooses  $(H(\cdot), \Omega)$  and publishes them. Then CA selects randomly its own secret key ( $k_{CA}$ )

Table 1: Notations' meanings used in this paper

Notation	Meaning
$H(\cdot)$	A one-way hash function
$E_k(\cdot)/D_k(\cdot)$	AES encryption/decryption with key $k$
$\Omega$	A symmetric-key cryptosystem
$\parallel$	Concatenation
$\oplus$	Exclusive-OR
CA	The trusted central authority
$ID_{CA}$	An identity of CA
$ID(SC_i)$	An identity of $SC_i$
$T_{SHA1}$	Time for hashing 512 bits message block using SHA-1
$T_{AES}$	Time for encrypting/decrypting 128 bits message block using AES with a 128 bits key.

and also calculates its own private key  $K = H(ID_{CA}||k_{CA})$ .

**Step 2:** CA selects randomly the secret key ( $SK_i$ ), and sub-secret key ( $d_i$ ) for each security class ( $SC_i, 1 \leq i \leq N$ ) in the hierarchical structure.

**Step 3:** For each security class  $SC_i$ , CA computes the encryption key  $EK_i = H(ID_{CA}||k_{CA}||d_i)$  and the private key  $K_i = H(ID_{CA}||d_i)$ .

**Step 4:** CA computes the following public parameters:

- 1) For each security class  $SC_j \leq SC_i$ , computes  $M_{ij} = E_{EK_i}(SK_j)$ .
- 2) For  $SC_i$ , computes  $R_i = E_{K_i}(EK_i)$  and the signature  $Sign_i = H(ID_{CA}||SK_i)$ .
- 3) CA encrypts  $K_i$  as  $S_i = E_K(K_i)$ .

**Step 5:** CA finally sends  $d_i$  to each  $SC_i$  via the secure channel.

## 2.2 Key Derivation Phase

This phase introduces how the security class ( $SC_i$ ) derives the corresponding successor security classes' ( $SC_j$ ) secret key ( $SK_j$ ) from the public parameters available in the public domain.

**Step 1:**  $SC_i$  uses its own sub-secret key to compute its private key,  $K_i = H(ID_{CA}||d_i)$  and then decrypts  $R_i$  for the encryption key,  $EK_i = D_{K_i}(R_i)$ .

**Step 2:**  $SC_i$  decrypts  $M_{ij}$  to obtain the secret keys  $SK_j = D_{EK_i}(M_{ij})$ .

**Step 3:**  $SC_i$  computes the signature  $Sign_j^* = H(ID_{CA}||SK_j)$  and then verifies whether  $Sign_j^* = Sign_j$  or not. If yes, the derived secret key ( $SK_j$ ) is legitimate.

## 3 The Proposed Scheme

We propose our scheme based on a hybrid cryptosystem utilizing a symmetric-key cryptosystem and a one-way hash function. At First, we present the key generation phase and key derivation phase. Finally, we show the dynamic key management.

### 3.1 Key Generation Phase

Like Odelu et al.'s scheme, CA builds the hierarchical structure for access control. Let two security classes be  $SC_i, SC_j \in SC$  and  $SC_j \leq SC_i$  ( $1 \leq i \leq N$  and  $1 \leq j \leq N$ ).

**Step 1:** CA selects ( $H(\cdot), \Omega$ ) and declares them publicly. Then CA chooses its own secret key ( $k_{CA}$ ) randomly.

**Step 2:** CA selects randomly the secret key ( $SK_i$ ), sub-secret key ( $d_i$ ) for each security class ( $SC_i, 1 \leq i \leq N$ ) in the hierarchical structure.

**Step 3:** CA calculates the encryption key ( $EK_i$ ) for each security class as  $EK_i = H(k_{CA}||ID(SC_i))$ .

**Step 4:** CA computes the public parameters ( $M_{ij}, R_i$ ) for each security class as  $M_{ij} = E_{EK_i}(SK_j \oplus ID_{CA})$ , and  $R_i = E_{K_i}(EK_i)$ .

**Step 5:** At last, CA sends  $d_i$  to each  $SC_i$  securely and clears all keys ( $SK_i, d_i, EK_i$ ).

### 3.2 Key Derivation Phase

For  $SC_j \leq SC_i$ , we show how the security class ( $SC_i$ ) derives the secret keys ( $SK_j$ ) of all its successors ( $SC_j$ ) and its own secret key from the public parameters, and verifies that secret key is legitimate.

**Step 1:**  $SC_i$  uses its sub-secret key to decrypt  $R_i$  as  $EK_i = D_{d_i}(R_i)$ .

**Step 2:**  $SC_i$  decrypts  $M_{ij}$  to obtain  $SK_j \oplus ID_{CA} = D_{EK_i}(M_{ij})$ .

Table 2: The storage space requirement in the hierarchy shown in Figure 1

CA (Private Domain)	Public Domain			$SC_i$ (Private Domain)		
$k_{CA}$	$R_1$	$M_{11}, M_{12}, M_{13}, M_{14}, M_{15}, M_{16}, M_{17}$			$d_1$	$SC_1$
	$R_2$	$M_{22}, M_{25}, M_{26}$			$d_2$	$SC_2$
	$R_3$	$M_{33}, M_{34}, M_{36}, M_{37}$			$d_3$	$SC_3$
	$R_4$	$M_{44}, M_{46}, M_{47}$			$d_4$	$SC_4$
	$R_5$	$M_{55}$			$d_5$	$SC_5$
	$R_6$	$M_{66}$			$d_6$	$SC_6$
	$R_7$	$M_{77}$			$d_7$	$SC_7$

**Step 3:** If  $M_{ij}$  does not change by the attacker, we can get  $SK_j = (SK_j \oplus ID_{CA}) \oplus ID_{CA}$ . If not,  $SC_i$  will notify CA that  $M_{ij}$  is wrong.

### 3.3 Dynamic Key Management

#### 3.3.1 Insert a New Security Class into the Existing Hierarchical Structure

Assume that a security class ( $SC_x$ ) with the relationships  $SC_j \leq SC_x \leq SC_i$  was inserted into an existing hierarchical structure. CA executes the following steps to manage the accessing priority:

**Step 1:** CA randomly selects a secret key ( $SK_x$ ), a sub-secret key ( $d_x$ ) for the security class ( $SC_x$ ).

**Step 2:** For  $SC_x$ , CA calculates the encryption key ( $EK_x$ ) as  $EK_x = H(k_{CA} || ID(SC_x))$ , and then computes  $R_x = E(d_x)(EK_x)$ .

**Step 3:** CA computes the public parameters corresponding to  $SC_x$ 's predecessors and successors including itself and declares publicly as follows:

- 1) For all  $SC_x \leq SC_i$ , CA calculates  $EK_i = H(k_{CA} || ID(SC_i))$  and public parameters as  $M_{ix} = E(EK_i)(SK_x \oplus ID_{CA})$ .
- 2) For all  $SC_j \leq SC_x$ , CA computes  $SK_j = D(EK_j)(M_{jj}) \oplus ID_{CA}$ . If it is valid, the CA computes the public parameters as  $M_{xj} = E(EK_x)(SK_j \oplus ID_{CA})$ .

**Step 4:** CA sends  $d_x$  to  $SC_x$  securely.

#### 3.3.2 Delete a Security Class from the Existing Hierarchical Structure

Suppose that an existing security class ( $SC_x$ ) was deleted from the existing user hierarchical structure ( $SC_j \leq SC_x \leq SC_i$ ). In order to assure the forward security,  $SC_x$  does not have permission to access all the information which was authorized originally after being deleted from the user hierarchy system. CA performs the following steps to manage the authority to access:

**Step 1:** CA removes all the parameters corresponding to  $SC_x$ .

**Step 2:** For all the successors  $SC_j$  ( $SC_j \leq SC_x$ ), CA renews the secret key as  $SK_j^*$ .

**Step 3:** For all the relationships  $SC_j \leq SC_i$ , CA renews the parameter  $M_{ij}^* = E(EK_i)(SK_j^* \oplus ID_{CA})$ .

## 4 Analysis of the Proposed Scheme

In this section, we provide the analysis of security, storage and computational overheads required in our scheme. We assume that there are  $N$  security classes in the hierarchy system which forms a set  $SC = \{SC_1, SC_2, \dots, SC_N\}$ , and each security class  $SC_i$  has  $v_i$  number of predecessors.

### 4.1 Storage Complexity

In Table 2, we show the storage space of private and public domains of CA and security classes for the hierarchy as shown in Figure 1. In our scheme, each key ( $k_{CA}, SK_i, d_i, EK_i$ ) uses 128 bits, so CA and  $SC_i$ 's private domain need 128 bits storage space. And considering the public domain for storage complexity, CA requires to store public parameters in the public domain:  $ID_{CA}$  requires 128 bits, and  $NID(SC_i)$  need 128N bits;  $NR_i$  require 128N bits, and finally  $M_{ij}$  need  $128(\sum_{i=1}^N (v_i + 1))$  bits. The total storage complexity required for the public domain is  $128(\sum_{i=1}^N (v_i + 1) + 2N + 1)$  bits. We present the comparison of the storage space between our scheme and Odelu et al.'s scheme [16] in Table 3.

### 4.2 Computational Complexity

For analysis of the computational complexity, because exclusive-OR operation requires very few computations, we neglect considering its computational cost in this paper. CA computes the  $NEK_i$  which require  $NT_{SHA1}$  operations,  $NR_i$  which need  $NT_{AES}$  operations, and finally  $M_{ij}$  which need  $\sum_{i=1}^N (v_i + 1)T_{AES}$  operations. And during the key derivation phase, all security classes  $SC_i$  in the hierarchy need to compute the followings: decrypting  $R_i$  requires  $NT_{AES}$  operations, and decrypting  $M_{ij}$  needs  $\sum_{i=1}^N (v_i + 1)T_{AES}$  operations. Therefore,

Table 3: Comparison of the storage space

Schemes	Private Domain		Public Domain
	CA	$SC_i$	
Odelu et al. [16]	128	128	$128(\sum_{(i=1)}^N (v_i + 1) + 3N + 1)$
Ours	128	128	$128(\sum_{(i=1)}^N (v_i + 1) + 2N + 1)$

Table 4: Comparison of computational costs

Schemes	Time complexity
Odelu et al. [16]	$(3N + 2 \sum_{(i=1)}^N (v_i + 1))T_{AES} + (5N + 1)T_{SHA1}$
Ours	$(2N + 2 \sum_{(i=1)}^N (v_i + 1))T_{AES} + NT_{SHA1}$

the total computational complexity for the key generation and derivation phases becomes  $(2N + 2 \sum_{(i=1)}^N (v_i + 1))T_{AES} + NT_{SHA1}$ . We also present the comparison of computational costs between our scheme and Odelu et al.'s scheme [16] in Table 4.

### 4.3 Security Analysis

Then we show that our scheme can resist three attacks which often occur in the user hierarchy system.

- 1) Contrary attack: In this attack,  $SC_i$  is a predecessor security class of a successor  $SC_j$  ( $SC_j < SC_x$ ). The attacker  $SC_j$  attempts to derive the secret key ( $SK_i$ ) of  $SC_i$  from the public parameters available in the public domain.  $SC_j$  has  $M_{ii} = E_{(EK_i)}(SK_i \oplus ID_{CA})$  and  $R_i = E_{(d_i)}(EK_i)$ . Because  $d_i$  is the sub-secret key only known to  $SC_i$ ,  $SC_j$  cannot decrypt  $R_i$  to know  $EK_i$ . Therefore,  $SC_j$  cannot decrypt  $M_{ii}$  without  $EK_i$ . In a word, it is infeasible that  $SC_j$  derives  $SK_i$  of  $SC_i$ . Our proposed scheme can resist the contrary attack.
- 2) Collaborative attack: Suppose that several users in security classes ( $SC_j, SC_x$ ) collaborate to derive illegally the secret key ( $SK_i$ ) of their predecessor ( $SC_i$ ), where  $SC_j, SC_x < SC_i$ . The attackers cannot use their sub-secret keys ( $d_j, d_x$ ) and public parameters ( $M_{ii}, M_{ij}, M_{ix}, R_i$ ) to compute  $EK_i$ , because  $d_i$  is the sub-secret key only known to  $SC_i$ . As a result,  $SC_j$  and  $SC_x$  cannot get  $SK_i$  through collaborative attack.
- 3) Forward security of successors: When we delete a security class ( $SC_x$ ) from the existing hierarchical structure ( $SC_j < SC_x < SC_i$ ), CA replaces the secret key ( $SK_j$ ) of  $SC_j$  with the renewed secret key  $SK_j^*$  and the related public parameters. Therefore,  $SC_x$  will not have chance to get the new secret key  $SK_j^*$ .

## 5 Conclusion

In this paper, we have proposed an improved key management scheme to solve a dynamic access problem in a user hierarchy by utilizing a symmetric-key cryptosystem and a one-way hash function. Compared with Odelu et al.'s scheme [16], both the time complexity and storage space are reduced in our scheme. Our scheme is more efficient and suitable for the hierarchy system.

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